



Business Auditing Course

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Corporate Compliance Lawyer

A look at Compliance: the best legal career you're not considering

The need to be compliant with new and updated rules, regulations and laws in several sectors, as anti-bribery, anti-corruption, data protection and others, has created a **new trend** and new **job opportunities**.

In fact, in the last years, there was a real increase of qualified **risk&compliance professionals**.

Compliance is the practical application of law to the business process



Compliance Lawyer: a Business Partner

If you're a lawyer in a compliance role, you need to stay abreast of the law and industry updates so that you can **partner with key stakeholders** and provide education about the **high-risk** regulatory areas and **prevent issues proactively** in those areas.

Knowledge of operations within a particular **industry** is a significant part of being a **successful compliance lawyer**

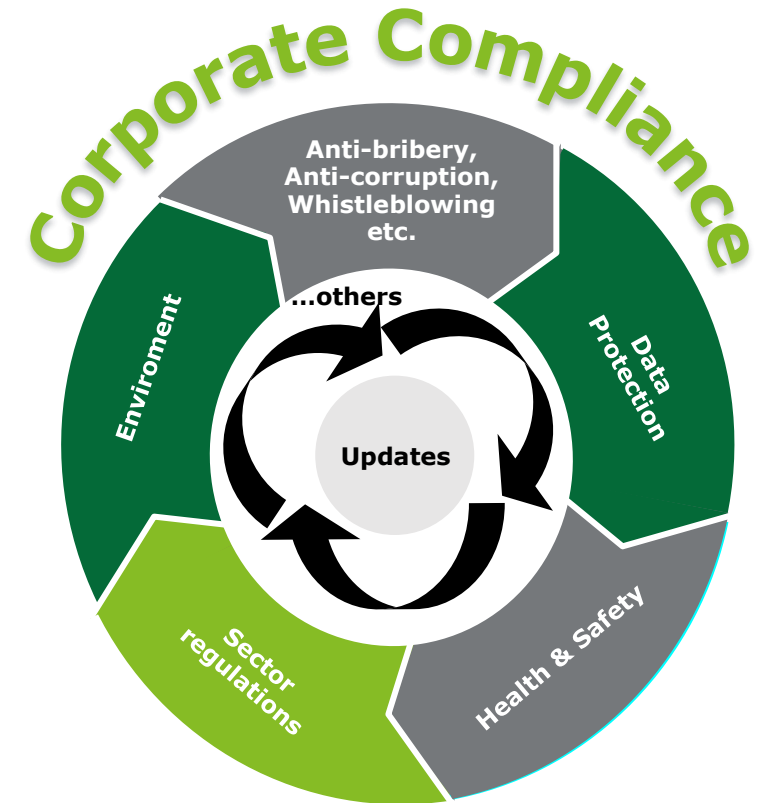


Corporate Compliance

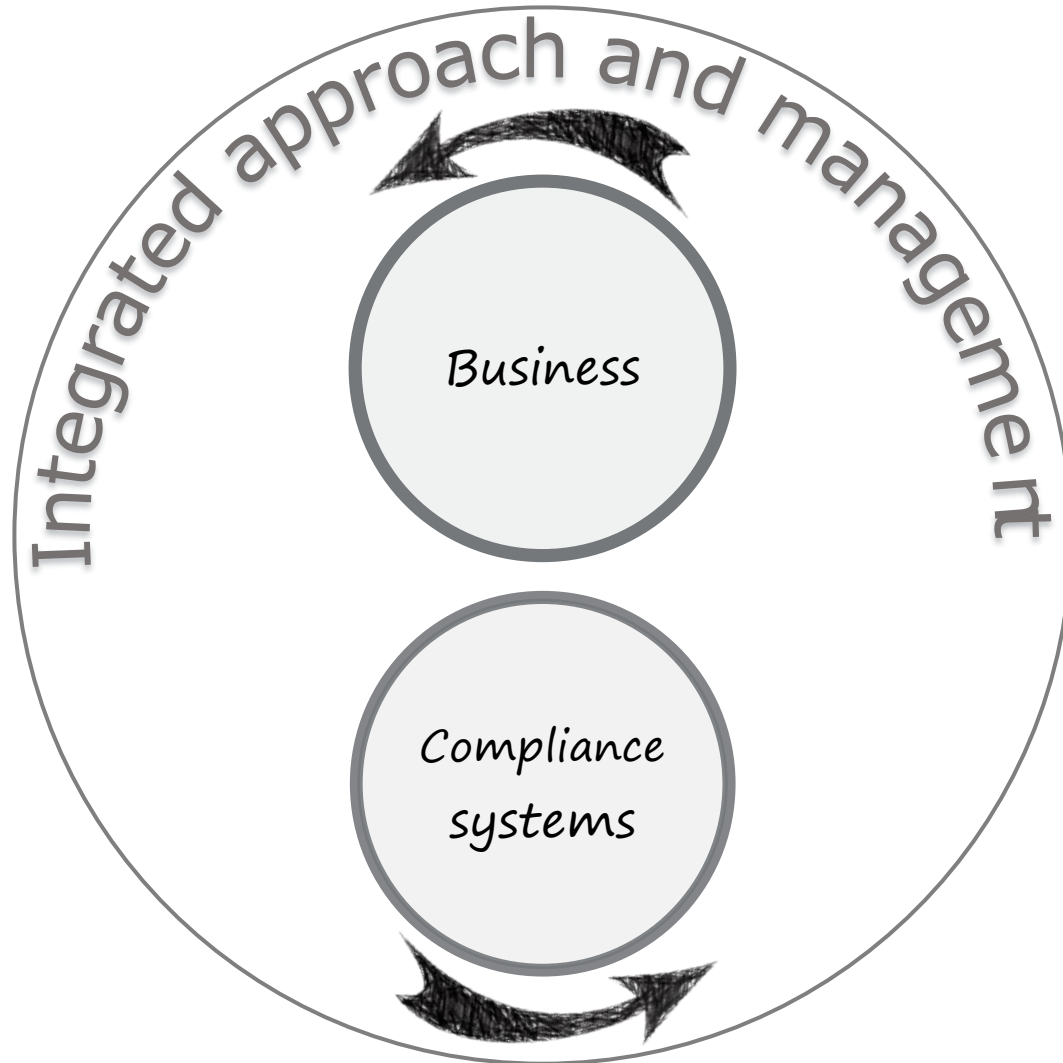
Overview

Corporate compliance refers to many laws that could be different in relation to the legal framework and business sector in which the companies operate

- ❑ Anti-bribery
- ❑ Anti-corruption
- ❑ Whistleblowing
- ❑ Data Protection
- ❑ Health & Safety
- ❑ Sector regulations
- ❑ Environment
- ❑ Etc.



Corporate Compliance Pros



Compliance to the relevant laws and regulations, even in case of the Authorities' inspections

Identification of the potential areas of risk and related analysis

Management of the critical issues, even in light of the integrated competences

Minimization of the internal costs' management

Improvement of the personnel's skills and sensitivity to the compliance matters

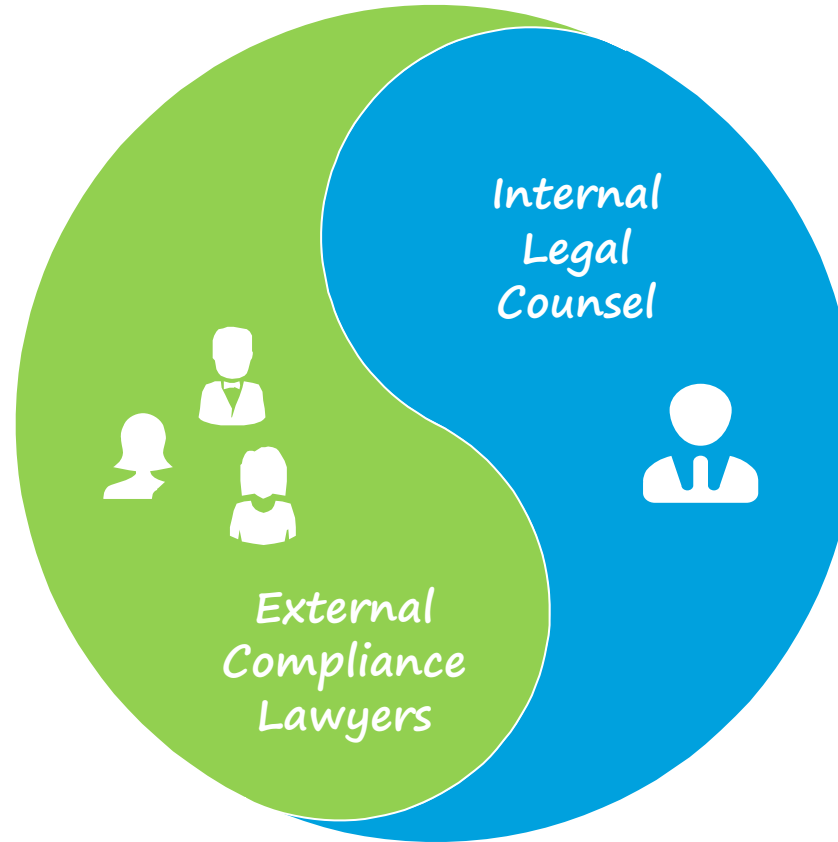
Greater coverage of areas of risk and diversification of control systems of the internal competent bodies

Time savings for information and documental flows, especially if digitalized

Corporate Compliance

The role of lawyers

External Compliance Lawyers with specific skills and technical expertise for assisting the companies in designing and implementing compliance systems.

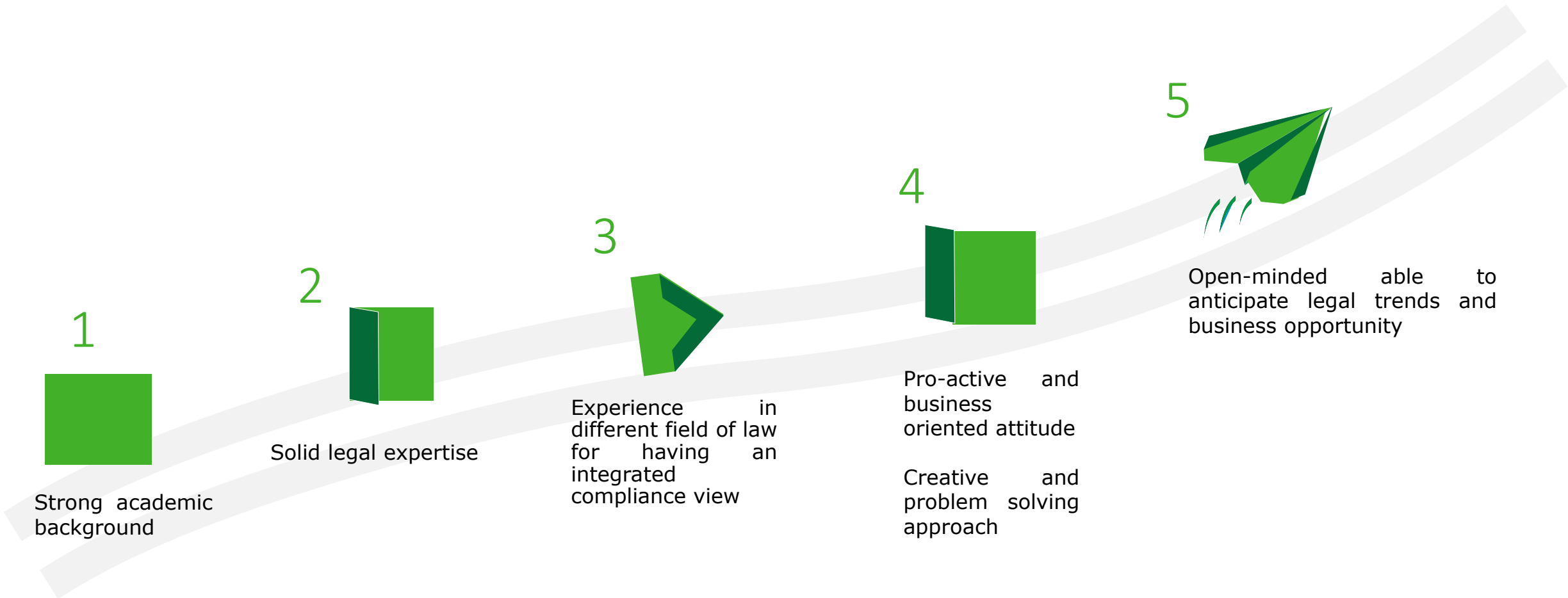


Internal Legal Counsel plays a key role in smoothening the implementation of compliance systems within the organization and keep monitoring the compliance.

Corporate Compliance

Compliance Lawyer

Top 5 Skills



*Compliance
is a
Team work!*



International Projects

Liability of Legal Entities

The Organization for Economic Co-operation and Development (OECD) Convention on combating Bribery of Foreign Public Officials in International Business Transactions of December 17, 1997, sets forth important international anti-bribery obligations.

Most importantly, the mentioned convention states (§ 2) that ***"Each Party shall take such measures as may be necessary, in accordance with its legal principles, to establish the liability of legal persons for the bribery of a foreign public official"***.

Italy complied with anti-corruption provisions with the adoption of Legislative Decree no. 231 of June 8, 2001, *"The administrative liability of legal persons, companies and associations, including those without legal personality, in accordance with Article 11 of Law no. 300 of 29 September 2000"*.

In compliance with the international obligations, also several other States, both in Europe and worldwide, adopted specific legislations or provisions with reference to the liability of legal entities for crimes committed by their management or employees in the interest or to the benefit of the legal entity.



Administrative/Criminal liability of Legal Entities

International overview: Countries that adopted specific regulations

EXAMPLE
Subject to
amendments &
update

Member States of the European Union

FRANCE



AUSTRIA



THE NETHERLANDS



SPAIN



IRELAND



GERMANY



DENMARK



POLAND



Non-member States of the European Union

USA



UK



BRAZIL



THAILAND



RUSSIA



SOUTH
AFRICA



ARGENTINA



PERÙ



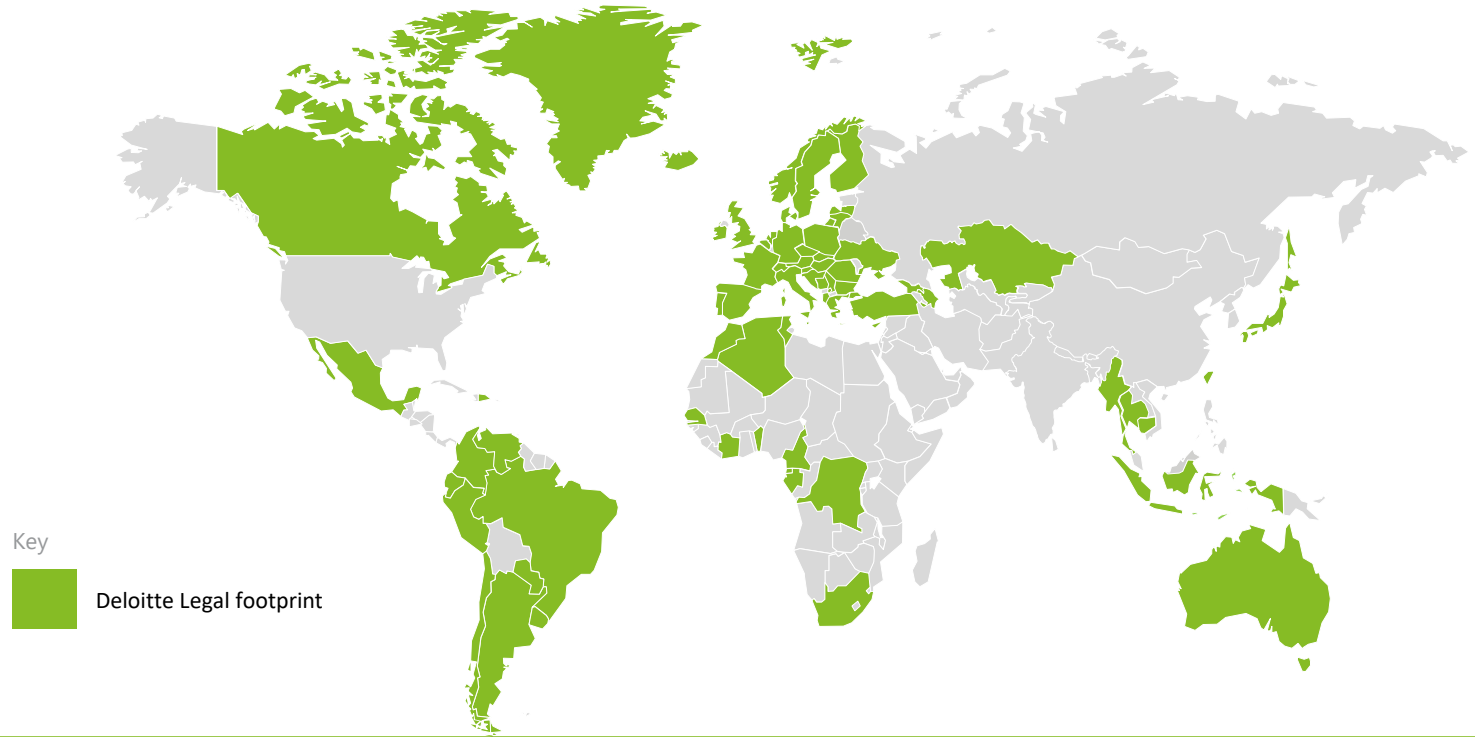
CANADA



Cross-border coordination and a single point of contact

It can be enormously challenging to manage numerous legal services providers around the world and issues can slip into the cracks.

As one of the global leaders in legal services, Deloitte Legal coordinates delivery around the world.



Deloitte Legal practices

1. Albania	15. Canada	29. France	43. Japan	57. Peru	71. Thailand
2. Algeria	16. Chile	30. Gabon	44. Kazakhstan	58. Poland	72. Tunisia
3. Argentina	17. Colombia	31. Georgia	45. Kosovo	59. Portugal	73. Turkey
4. Armenia	18. Costa Rica	32. Germany	46. Latvia	60. Romania	74. Ukraine
5. Australia	19. Croatia	33. Greece	47. Lithuania	61. Senegal	75. Uruguay
6. Austria	20. Cyprus	34. Guatemala	48. Malta	62. Serbia	76. United Kingdom
7. Azerbaijan	21. Czech Rep.	35. Honduras	49. Mexico	63. Singapore	77. Venezuela
8. Belgium	22. Dem Rep of Congo	36. Hong Kong SAR, China	50. Montenegro	64. Slovakia	
9. Benin	23. Denmark	37. Hungary	51. Morocco	65. Slovenia	
10. Bosnia	24. Dominican Republic	38. Iceland	52. Myanmar	66. South Africa	
11. Brazil	25. Ecuador	39. Indonesia	53. Netherlands	67. Spain	
12. Bulgaria	26. El Salvador	40. Ireland	54. Nicaragua	68. Sweden	
13. Cambodia	27. Equatorial Guinea	41. Italy	55. Norway	69. Switzerland	
14. Cameroon	28. Finland	42. Ivory Coast	56. Paraguay	70. Taiwan	

Cross-border coordination and a single point of contact in Europe

Deloitte Legal practices

- | | | |
|----------------|-----------------|--------------------|
| 1. Albania | 15. Greece | 29. Romania |
| 2. Armenia | 16. Hungary | 30. Serbia |
| 3. Austria | 17. Iceland | 31. Slovakia |
| 4. Azerbaijan | 18. Ireland | 32. Slovenia |
| 5. Belgium | 19. Italy | 33. Spain |
| 6. Bosnia | 20. Kosovo | 34. Sweden |
| 7. Bulgaria | 21. Latvia | 35. Switzerland |
| 8. Croatia | 22. Lithuania | 36. Turkey |
| 9. Cyprus | 23. Malta | 37. Ukraine |
| 10. Czech Rep. | 24. Montenegro | 38. United Kingdom |
| 11. Denmark | 25. Netherlands | |
| 12. Finland | 26. Norway | |
| 13. France | 27. Poland | |
| 14. Germany | 28. Portugal | |



Thank you!